Update

Morison's Securities Law

Service 199 — March 2021

Legislation

Financial Markets Conduct Regulations 2014

The Financial Markets Conduct Regulations 2014 has been amended by the following legislative amendments:
- Financial Markets Conduct Amendment Regulations 2020, rr 29(2) and 30, effective from 18 January 2021;
- Financial Markets Conduct Amendment Regulations 2020, r 17, effective from 30 January 2021; and
- The Financial Markets Conduct Amendment Regulations 2016, r 7, effective from 1 December 2017.

Financial Markets Conduct Act 2013
- The Financial Markets Conduct Act 2013 has been amended by the Trusts Act 2019, ss 161 and 165–175, effective from 30 January 2021.

Financial Markets Authority Act 2011
- The Financial Markets Authority Act 2011 has been amended by the Trusts Act 2019, ss 179 and 180, effective from 30 January 2021.

Anti-Money Laundering and Countering Financing of Terrorism Act 2009
- The Anti-Money Laundering and Countering Financing of Terrorism Act 2009 has been amended by the Trusts Act 2019, s 161 and sch 4, pt 1, effective from 30 January 2021.

Financial Advisors Act 2008
- The Financial Advisors Act 2008 has been amended by the Trusts Act 2019, ss 176–178, effective from 30 January 2021.

Commentary

Financial Markets Conduct Act 2013

Nicole Macfarlane reviewed and updated the following annotated commentary in Part 4 of the Financial Markets Conduct Act 2013:
- FMCA110A.01
- FMCA112.01
- FMCA143.01
- FMCA153.01
- FMCA155A.01

- FMCA217.02
- FMCA217.03

Personal Property Securities

Richard Scragg reviewed and updated the following:
- Chapter 40: Introduction to the personal properties securities regime — [40.1], [40.9.2], [40.11], [40.11.1], [40.17] and [40.18]
- Chapter 41: Scope of the Personal Property Securities Act 1999 — [41.4]
- Chapter 42: Creation and enforceability of security interests — [42.18]
- Chapter 43: Priority between security interests — [43.22]
- Chapter 44: Priority between security interests and other interests — [44.1], [44.13] and [44.14]

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